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JUN 11 2003

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Tara

**-Official Business-**

**FAX Transmission Sheet**

June 11, 2003

To: Wojciechowicz, E. Fax No.: (703) 872-9318  
From: Stephen P. Burr Fax No.: (315) 233-8320  
Re: U.S. Patent Application Serial No. 10/042,949  
Title: EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE  
Conf. No.: 4446  
Our Ref.: 782\_211

You should receive 15 page(s) including this cover sheet. If you do not receive all pages, please call Tara at (315) 233-8300.

**COMMENTS:**

I hereby certify that the following paper(s) is/are being transmitted by facsimile to the Patent and Trademark Office on June 11, 2003:

- an Amendment Transmittal (in duplicate)
- an Amendment (12 pages)

Tara L. Preston

Tara L. Preston

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**PATENT AMENDMENT TRANSMITTAL**

(Provisions of 37 CFR 1.136 Apply)

Application Number	10/042,949	Filing Date	January 9, 2002
Group Art Unit	2815	Examiner Name	Wojciechowicz, E.
Confirmation No.	4446	Attorney Docket No.	782_211
Inventor(s)	Tomohiko SHIBATA, Mitsuhiro TANAKA, Osamu ODA and Yukinori NAKAMURA		
Invention:	EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

**CLAIMS AS AMENDED**

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	21	MINUS	20	1	\$18.00	\$18.00
INDEP. CLAIMS	2	MINUS	3	0	\$84.00	\$00.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>						<b>\$18.00</b>

**EXTENSION OF TERM**

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

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- ☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:

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- ☐ One Month (37 CFR 1.17(a)(1)) ..... \$110.00
- ☐ Two Month (37 CFR 1.17(a)(2)) ..... \$410.00
- ☐ Three Month (37 CFR 1.17(a)(3)) ..... \$930.00

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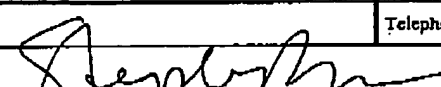
**TOTAL FEES DUE****\$ 18.00**

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

**FEE PAYMENT**

- ☐ No additional fee is required.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☒ Charge Deposit Account 50-1446 in the amount of \$18.00. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

**Submitted By:**

Name (Print Type)	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
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Signature				Date	June 11, 2003

**CERTIFICATION OF FACSIMILE TRANSMISSION**

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Tara L. Preston